GFAM: Evolving Fuzzy ARTMAP Neural Networks

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Abstract

Fuzzy ARTMAP (FAM) is one of the best neural network architectures in solving classification problems. One of the limitations of Fuzzy ARTMAP that has been extensively reported in the literature is the category proliferation problem. That is Fuzzy ARTMAP has the tendency of increasing its network size, as it is confronted with more and more data, especially if the data is of noisy and/or overlapping nature. To remedy this problem a number of researchers have designed modifications to the training phase of Fuzzy ARTMAP that had the beneficial effect of reducing this phenomenon. In this paper we propose a new approach to handle the category proliferation problem in Fuzzy ARTMAP by evolving trained FAM architectures. We refer to the resulting FAM architectures GFAM. We demonstrate through extensive experimentation that an evolved FAM (GFAM) exhibits good generalization, small size, and produces an optimal or a good sub-optimal network with a reasonable computational effort. Furthermore, comparisons of the GFAM with other approaches, proposed in the literature, that address the FAM category proliferation problem, illustrate that the GFAM has a number of advantages (i.e. produces smaller or equal size architectures, of better or as generalization, with reduced computational good complexity).

1. Introduction

The Adaptive Resonance Theory (ART) was developed by Grossberg (1976). Fuzzy ARTMAP (Carpenter et al, 1992) is an ART architecture that has been successfully used in the literature to solve a variety of classification problems. One of the limitations of Fuzzy ARTMAP (FAM) that has been repeatedly reported in the literature is the category proliferation problem. Category proliferation, is the problem where, during training, the algorithm tends to increase the size of the network (create more nodes), unnecessarily, as it is confronted with more data, especially noisy or overlapping data. proliferation has the effect of reducing classification accuracy on unseen data (generalization), while at the same time increasing the time that it takes for the network to produce the classification label of a previously unseen datum.

A number of authors have tried to address the category proliferation/overtraining problem in Fuzzy ARTMAP.

Amongst them we refer to the work the work by Verzi, et al., 2001, Anagnostopoulos, et al., 2003 and Gomez-Sanchez, et al., 2001, where different ways are introduced, and evaluated, of allowing the Fuzzy ARTMAP categories to encode patterns that are not necessarily mapped to the same label.

In this paper, we propose the use of genetic algorithms (GA) to solve the category proliferation problem in Fuzzy ARTMAP. Genetic algorithms are a class of populationbased stochastic search algorithms that are developed from ideas and principles of natural evolution. An important feature of these algorithms is their population based search strategy. Individuals in a population compete and exchange information with each other in order to perform certain tasks. In the Fuzzy ARTMAP setting we start with a population of trained FAMs. Then, a GA algorithm is utilized to manipulate these trained FAM architectures in a way that encourages better generalization and smaller size architectures. The evolution of trained FAM architectures allows these architectures to exchange and modify their categories in a way that emphasizes smaller and more accurate FAM architectures. Eventually, this process leads us to a FAM architecture (referred to as GFAM) that has good generalization performance and creates networks of small size; all of these benefits come at the expense of reasonable computational complexity.

Genetic algorithms have been extensively used to evolve artificial neural networks. For a thorough exposition of the available research literature in evolving neural networks the interested reader is advised to consult Yao, 1999. To the best of our knowledge there is no work conducted in the literature so far that has attempted to evolve FAM neural network structures, and that is the main focus of our effort.

The organization of this paper is as follows: In section 2 we present GFAM. In Section 3, we describe the experiments and the datasets used to assess the performance of GFAM, and we also compare GFAM to four other ART networks that attempted to solve the category proliferation problem in Fuzzy ARTMAP. In Section 4, we summarize our work.

2. Evolution of FAM Networks (GFAM)

The Fuzzy ARTMAP architecture consists of three layers of nodes. The input layer where the input patterns of

the classification task are applied, the output layer where the outputs of the network are produced, and the category representation layer, where compressed representations of the input patterns, presented at the input layer, are formed. The compressed representations of the input pattern formed in the category representation layer encode the end-points of a hyper-rectangle. The lower endpoint of this hyper-rectangle is the minimum of the values of the input patterns presented to Fuzzy ARTMAP, that were encoded by this node, while the upper endpoint is the maximum of the values of the input patterns presented to Fuzzy ARTMAP and encoded by this node. Hence, every node in the category representation layer of Fuzzy ARTMAP has a hyperrectangle representation, and this hyperrectangle includes within its boundaries all the input patterns that it encoded. The correct mapping of inputs to outputs is achieved by mapping groups of input patterns that the nodes of the representation layer have encoded to correct output patterns (labels).

Fuzzy ARTMAP performance depends on a number of network parameters, such as the choice parameter, the baseline vigilance parameter and the order of training pattern presentation. To generate a population of initially trained FAMs we change their baseline vigilance parameter and the order of training pattern presentation. In all our GFAM experiments we kept the value of the choice parameter fixed at the level of 0.1.

For every classification problem (dataset) that we experimented with we assume that we have a training set, a validation set and a test set.

GFAM (Genetic Fuzzy ARTMAP) is an evolved FAM network that is produced by applying a genetic algorithm on an initial population of trained FAM networks. To evolve the initial population of the trained FAM networks GFAM utilizes tournament selection along with elitism, as well as genetic operators such as crossover and mutation, and it introduces two special operators, named Cat_{add} and Cat_{del} . To better understand how GFAM is designed we resort to a step-by-step description of this design. Before that, the reader should refer to an Appendix, where all the needed terminology is included. The design of GFAM can be articulated through the following steps:

Step 1: The algorithm starts by training Pop_{size} FAM networks, each one of them trained with a different value of the baseline vigilance parameter $\overline{\rho}_a$, and with a different order of pattern presentation. In particular, we

first define
$$\overline{\rho}_a^{inc} = \frac{\overline{\rho}_a^{\text{max}} - \overline{\rho}_a^{\text{min}}}{Pop_{size} - 1}$$
, and then the vigilance

parameter of every network is determined by the equation $\overline{\rho}_a^{\min} + i * \overline{\rho}_a^{inc}$, where $i \in \{0, Pop_{size} - 1\}$. Meanwhile, GFAM allows the user to change the order of pattern presentation automatically and randomly.

Step 2: Once the Pop_{size} networks are trained they need to be converted to chromosomes, so that they can be manipulated by the GA algorithm. GFAM uses a real numbers representation to encode the networks. Each FAM chromosome consists of two levels, level 1 containing all the categories of the FAM network, and level 2 containing the lower and upper endpoints of every category in level 1 (real numbers), as well as the label of that category (an integer) (see Figure 1).

Chromosome P										
$\mathbf{w}_1^a(p)$	$\mathbf{w}_2^a(p)$		$\mathbf{w}_{j}^{a}(p)$		$\mathbf{w}_{N_a}^a(p)$	Level 1				
		u ^a _j (p	$\mathbf{v}_{j}^{a}(p) \mid l$	_i (P)		Level 2				

Figure 1: GFAM Chromosome Structure We denote the category of a trained FAM network with

index p $(1 \le p \le Pop_{size})$ by $\mathbf{W}_{j}^{a}(p)$, where $\mathbf{W}_{j}^{a}(p) = (\mathbf{u}_{j}^{a}(p), (\mathbf{v}_{j}^{a}(p)^{c}))$ and the label of this category by $l_{j}(p)$ for $1 \le j \le N_{a}(p)$. In this step we also eliminate single-point categories in the trained FAM networks, referred to as cropping the chromosomes. Since our ultimate objective is to design a FAM network with a minimal size and maximum generalization, we are banning networks from having single-point categories.

Step 3: Evolve the chromosomes of the current generation by repeating the following sub-steps Gen_{max} times:

Sub-step 3a: Calculate the fitness of each chromosome. (*Fitness Evaluation*). This is accomplished by feeding into each trained FAM the validation set and by calculating the percentage of correct classification exhibited by each one of these trained FAM networks. In particular, if PCC(p) designates the percentage of correct classification, exhibited by the p-th FAM, and this FAM network possesses $N_a(p)$ nodes in its category representation layer, then its fitness function value is defined by:

$$Fit(p) = \frac{(Cat_{\text{max}} - N_a(p)) \cdot PCC^2(p)}{\frac{100}{Cat_{\text{min}}} - \frac{PCC(p)}{N_a(p)} + \varepsilon}$$

where \mathcal{E} is a small positive number used to prevent division by zero. This function optimizes both the size and the accuracy of the network, its value gets higher as PCC(p) gets higher, and, as $N_a(p)$ gets smaller. We selected this function because it gave better results than many other functions that we have experimented with.

Sub-step 3b: Initialize an empty generation (referred to as *temporary generation*).

Sub-step 3c: Find the best NC_{best} chromosomes from the current generation and copy them to the temporary generation. (*Elitism*)

Sub-step 3d: Fill in the remaining $Pop_{size} - NC_{hest}$ chromosomes in the temporary generation by crossing over two parents from the current generation. The parents are chosen using a deterministic tournament selection method, as follows: Randomly select two groups of four chromosomes each from the current generation, and use as a parent from each group the chromosome with the best fitness value in the group. If it happens that from both groups the same chromosome is chosen then we choose from one of the groups the chromosome with the second best fitness value. If two parents with indices p, p' are crossed over two random numbers n, n' are generated $\{1, 2, ..., N_a(p)\}$ and the index sets from $\{1, 2, ..., N_a(p')\}$, respectively. Then, all the categories with index greater than index n' in chromosome with index p' and all the categories with index less than index n in the category with index p are moved into an empty chromosome within the temporary generation. Notice that crossover is done on level 1 of the chromosome. This operation is pictorially illustrated in the following figure 2.

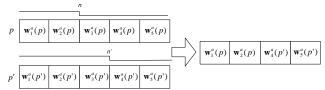


Figure 2: GFAM Crossover Implementation

Sub-step 3e: The operator Cat_{add} adds a new category to every chromosome created in step probability $P(Cat_{add})$. The new category has lower and upper endpoints u, v that are randomly generated as follows: For every dimension of the input feature space $(M_a \text{ dimensions total})$ we generate two random numbers uniformly distributed in the interval [0, 1]; the largest of these numbers is associated with the V coordinate along this dimension, while the smallest of the two random numbers is associated with the **u** coordinate along this dimension. The label of this newly created category is chosen randomly amongst the N_b categories of the pattern classification task under consideration. A chromosome does not add a category if the addition of this category results in number of categories for this chromosome that exceeds the designated maximum number categories Cat_{max} .

Sub-step 3f: The operator Cat_{del} deletes one of the categories of every chromosome created in step 3e with

probability $P(Cat_{del})$. A chromosome does not delete a category if the deletion of this category results in the number of categories for this chromosome to fall below the designated minimum number of categories Cat_{\min} .

Sub-Step 3g: In GFAM, every chromosome created by step 3f gets mutated as follows: with probability P(mut)every category is mutated. If a category is chosen, its **u** or V endpoints is selected randomly (50% probability), and then every component of this selected vector gets mutated by adding to it a small number. This number is drawn from a Gaussian distribution with mean 0 and standard deviation 0.01. If the component of the chosen vector becomes smaller than 0 or greater than 1 (after mutation), it is set back to 0 or 1, respectively. Notice that mutation is applied on level 2 of the chromosome structure, but the label of the chromosome is not mutated (the reason being that our initial GA population consists of trained FAMs, and consequently we have a lot of confidence in the labels of the categories that these trained FAMs have discovered through the FAM training process).

Step 4: Calculate the performance of the best-fitness FAM network on the test set and report classification accuracy and number of categories that this best-fitness FAM network (GFAM) possesses.

3. GFAM Experiments and Comparisons with other ART Networks

To examine the performance of GFAM we performed a number of experiments on real and simulated datasets. The collections of simulated and real datasets are depicted in Table 1. The legend of Table 1 explains briefly the simulated datasets, while the real datasets were extracted from the UCI repository.

	Database Name	# Numerical Attributes	# Classes	% Major Class	Expected Accuracy
1	G2c-05	2	2	1/2	0.95
2	G2c-15	2	2	1/2	0.85
3	G2c-25	2	2	1/2	0.75
4	G2c-40	2	2	1/2	0.6
5	G4c-05	2	4	1/4	0.95
6	G4c-15	2	4	1/4	0.85
7	G4c-25	2	4	1/4	0.75
8	G4c-40	2	4	1/4	0.6
9	G6c-05	2	6	1/6	0.95
10	G6c-15	2	6	1/6	0.85
11	G6c-25	2	6	1/6	0.75
12	G6c-40	2	6	1/6	0.6
13	4Ci/Sq	2	5	0.2	1
14	4Sq/Sq	2	5	0.2	1
15	7Sq	2	7	1/7	1
16	1Ci/Sq	2	2	0.5	1
17	1Ci/Sq/0.3:0.7	2	2	0.7	1
18	5Ci/Sq	2	6	1/6	1
19	2Ci/Sq/5:25:70	2	3	0.7	1

20	2Ci/Sq/20:30:5 0	2	3	0.5	1
20	7SqWN	2	6	1/7	0.9
21	5Ci/SqWN	2	6	1//6	0.9
22	MOD-IRIS	2	2	1/2	0.95
23	ABALONE	7	3	1/3	0.6
24	PAGE	10	5	0.832	0.95

Table 1: Databases used in the GFAM experiments, where G*c_** represent a Gaussian dataset with * classes and ** percent overlap, 13-21 represent a shape within a shape dataset where Ci is a circle and Sq is a square; in databases 20 and 21, WN means with noise (10%).

In all the experiments conducted with the above databases we had at our disposal a training set (used to design the trained ART network), a validation set (used to optimize the trained ART network), and a test set used to assess the performance of the optimized trained ART network.

3.1 Parameter Settings

We have experimented extensively with GFAM to identify a default set of FAM parmeters and GA parameters. The details of those experiments are omitted due to lack of space. GFAM used the following evolution parameters in all experiments: $\overline{\rho}_a^{\min} = 0.1$, $\overline{\rho}_a^{\max} = 0.95$, $\beta_a = 0.1$, $Pop_{size} = 20$, $Gen_{\max} = 500$, $NC_{best} = 3$, $Cat_{\min} = 1$, $Cat_{\max} = 300$, $P(Cat_{add}) = 0.1$, $P(Cat_{del}) = 0.1$, $P(mut) = 5/N_a(p)$.

3.2 Experimental Results

After running GFAM on the datasets of Table 1, we produce the accuracy and size of the GFAM network that attained the highest value of the fitness function at the last generation of the evolutionary process. Table 2 lists the accuracy and the size of this GFAM network as well as the accuracy and the size of other ART architectures for the same dataset. This information is also depicted in figures 3 to figure 6.

Database Name	GFAM	Safe uAM		ssFAM		ssEAM		ssGAM	
G2c-05	95.36 2	95.22	2	94.90	2	94.94	2	94.48	4
G2c-15	85.30 2	85.00	2	84.80	3	85.20	2	85.04	2
G2c-25	75.08 2	74.98	2	74.60	2	74.50	2	75.10	2
G2c-40	61.38 2	61.40	3	61.34	3	60.98	2	61.30	3
G4c-05	95.02 4	95.04	4	94.10	7	94.14	4	94.80	4
G4c-15	84.46 4	83.28	4	81.40	11	83.20	4	84.24	9
G4c-25	75.20 4	74.50	4	70.80	9	72.72	4	72.32	21
G4c-40	60.60 4	59.76	5	58.48	14	55.62	13	59.10	14
G6c-05	94.68 6	93.57	9	91.42	11	93.80	7	94.40	8
G6c-15	84.71 6	80.92	6	81.11	7	81.80	6	84.35	13
G6c-25	73.90 6	70.74	13	69.62	15	71.10	7	72.86	20
G6c-40	59.19 6	58.03	11	56.35	17	54.21	17	55.65	13
4Ci/Sq	96.32 8	95.42	8	87.23	18	94.68	5	93.4	12
4Sq/Sq	97.12 9	99.12	9	97.24	13	88.89	5	91.78	16

7Sq	97.2	7	97.22	16	97.26	16	88.5	19	95.83	93
1Ci/Sq	97.2	8	94.76	8	92.97	8	97.02	8	91.02	8
1Ci/Sq/ 0.3:0.7	97.8	8	96.82	8	93.21	8	97.13	8	92.33	8
5Ci/Sq	92	5 0	83.83	52	81.95	52	78.68	87	90.02	111
2Ci/Sq/ 20:30:50	97.87	3	97.22	6	90.24	12	97.01	3	95.6	9
7SqWN	87.3	7	86.67	20	80.15	24	75.23	32	83.11	123
5Ci/SqWN	81.97	5	71.72	52	68.39	57	69.2	136	81.3	145
MOD-IRIS	95.31	2	94.92	2	93.41	8	94.54	2	94.54	2
ABALONE	58.73	2	57.18	4	59.52	6	56.80	7	55.10	3
PAGE	95.59	3	88.82	6	90.63	3	89.54	3	89.34	5

Table 2: Best Performance of all ART Algorithms (uAM: Safe uARTMAP; ssFAM: ss Fuzzy ARTMAP; ssEAM: ss Ellipsoidal ARTMAP; ssGAM: ss Gaussian ARTMAP; ss : semi-supervised version

In Table 2, we are comparing GFAM's performance with the performance of the following networks: ssFAM, ssEAM, ssGAM (see Anagnostopoulos, et al., 2003, Verzi, et al., 2001), and safe micro-ARTMAP (see Gomez-Sanchez, et al., 2002). We chose these networks because they addressed the category proliferation problem in ART. More details about the specifics of each one of these networks can be found in their associated references. For the purposes of this paper it suffices to know that ssEAM covers the space of the input patterns with ellipsoids, while ssGAM covers the space of the input patterns with bellshaped curves. Furthermore ssFAM, ssEAM, and ssGAM allow a category (hyper-rectangle or ellipsoid or hyperdimensional bell shaped curve) to encode patterns of different labels provided that the plurality label of a category exceeds a certain, user-specified, threshold. Finally, safe micro-ARTMAP allows the encoding of patterns of different labels by a single category, provided that the entropy of the category does not exceed a certain, user-defined threshold.

In Table 2, the first column is the name of the database that we are experimenting with, while columns 2-6 of Table 2 contain the performance of the designated ART networks. The GFAM performance reported corresponds to the accuracy on the test set and the number of categories created by the FAM network that attained the highest value of the fitness function at the last generation of the evolutionary process. For the other ART networks the reported performance is the performance of the ART network that achieves the highest value of the fitness function amongst the trained ART networks trained with different network parameter settings (e.g., in ssFAM the best network was determined after training 22,000 ssFAM networks with different values of the choice parameter, vigilance parameter, order of pattern presentation, and amount of mixture of labels allowed within a category).

According to the results in Table 2, in all instances (except minor exceptions) the accuracy of GFAM (generalization performance) is higher than the accuracy of the other ART network (where ART is ssFAM, ssEAM,

ssGAM or safe micro-ARTMAP). According to the results in Table 2, in all instances (with no exceptions) the size of GFAM is smaller than the size of the other ART network (where ART is ssFAM, ssEAM, ssGAM or safe micro-ARTMAP), sometimes even by a factor of 15. For example, the generalization performance of GFAM on dataset 21 is 13% better than that of ssFAM, and its size on dataset 19 is 4 times smaller than that of ssFAM. Also, the generalization performance of GFAM on dataset 18 is 13% better than that of ssEAM, and its size on dataset 20 is 4.5 times smaller than that of ssEAM. Furthermore, the generalization performance of GFAM on dataset 24 is 6% better than that of ssGAM, and its size on dataset 15 is 13 times smaller than that of ssGAM. Finally, the generalization performance of GFAM on dataset 21 is 10% better than that of safe micro-ARTMAP, while its size on dataset 20 is 3 times smaller than the size of safe micro-ARTMAP.

What is worth pointing out is that the better performance of GFAM is attained with reduced computations compared to the computations needed by the alternate methods (ssFAM, ssEAM, ssGAM, safe micro-ARTMAP). Specifically, the performance attained by GFAM requires training of 20 FAM networks, and evolving them for 500 generations (quite often evolving them for 500 generations is not needed). On the contrary, the performance attained by ssFAM, ssEAM, ssGAM and the safe micro-ARTMAP required training these networks for a large number of network parameter settings (at least 20,000 experiments) and then choosing the network that achieved the higher value for the fitness function that we introduced earlier in the text. Of course, one can argue that such an extensive experimentation with these ART networks might not be needed, especially if one is familiar with the functionality of these networks and chooses to experiment only with a limited set of network parameter values. However, the practitioner in the field might lack the expertise to carefully choose the network parameters to experiment with, and consequently might need to experiment extensively to come up with a good network.

4. Summary and Conclusions

We introduced a new ART neural network architecture, named GFAM, produced by evolving a number of trained Fuzzy ARTMAP neural networks. The primary reason for introducing GFAM was to solve the category proliferation problem in Fuzzy ARTMAP.

We examined the performance of GFAM on a number of simulated and real datasets. The results illustrated that GFAM achieves good generalization (sometimes optimal generalization) while retaining a small network size. Comparisons of GFAM with other ART networks that addressed the category proliferation problem in Fuzzy ARTMAP revealed that GFAM almost always achieves better generalization and always produces smaller or equal

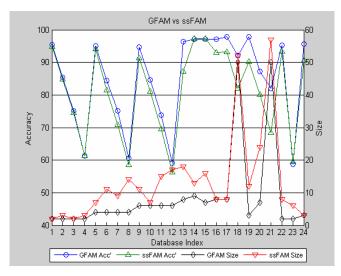


Figure 3: Accuracy and Size comparison of GFAM vs ssFAM

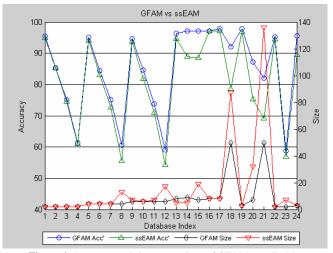


Figure 4: Accuracy and size comparison of GFAM vs ssEAM

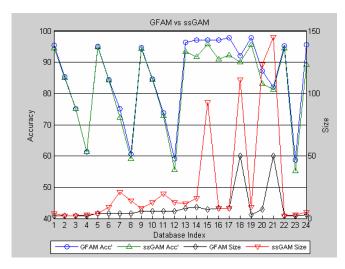


Figure 5: Accuracy and size comparison of GFAM vs ssGAM

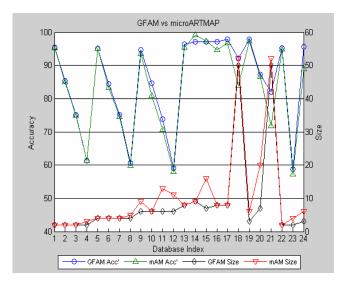


Figure 6: Performance and Size comparison of GFAM vs microARTMAP

(quite often significantly smaller) network size. The method used to create GFAM from trained ART networks can be extended to the evolution of other ART network architectures.

Appendix A

- M_a : The dimensionality of the input patterns
- *Training Set*: The collection of input/output pairs used in the training of the ART networks
- *Validation Set*: The collection of input/output pairs used to validate the performance of the ART networks
- *Test Set:* The collection of input/output pairs used to assess the performance of the chosen ART network,
- ρ_a^{\min} : The lower limit of the vigilance parameter used in the training of the initial generation of FAMs
- ρ_a^{max} : The upper limit of the vigilance parameter used in the training of the initial generation of FAMs
- β_a : The choice parameter used in the training of the initial generation of FAMs; chosen equal to 0.1
- *Pop_{size}*: The number of chromosomes in a generation
- $N_a(p)$: The number of categories in the p^{th} FAM network
- $\mathbf{w}_{j}^{a}(p) = (\mathbf{u}_{j}^{a}(p), (\mathbf{v}_{j}^{a}(p))^{c})$: the weight vector corresponding to category j of the p^{th} FAM network; \mathbf{u}_{j}^{a} corresponds to the lower endpoint of the hyperbox that the weight vector \mathbf{w}_{j}^{a} defines and \mathbf{v}_{j}^{a} corresponds to the upper endpoint of this hyperbox.
- $l_j(p)$: The label of category j of the p^{th} FAM network

- PCC(p): The percentage of correct classification of pth FAM network on the validation datasets
- *Gen*_{max}: The maximum number of generations
- *NC*_{best}: Number of best chromosomes that the GFAM transfers from a generation to another one, (elitism)
- Cat_{min}, Cat_{max}: The minimum and the maximum number of categories that a FAM chromosome is allowed to have during the evolutionary process
- Catadd, Catdel: Add and delete category operators
- P(Cat_{add}), P(Cat_{del}), P(Mut): The probabilities of adding a category, deleting a category and mutating a category of a chromosome

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