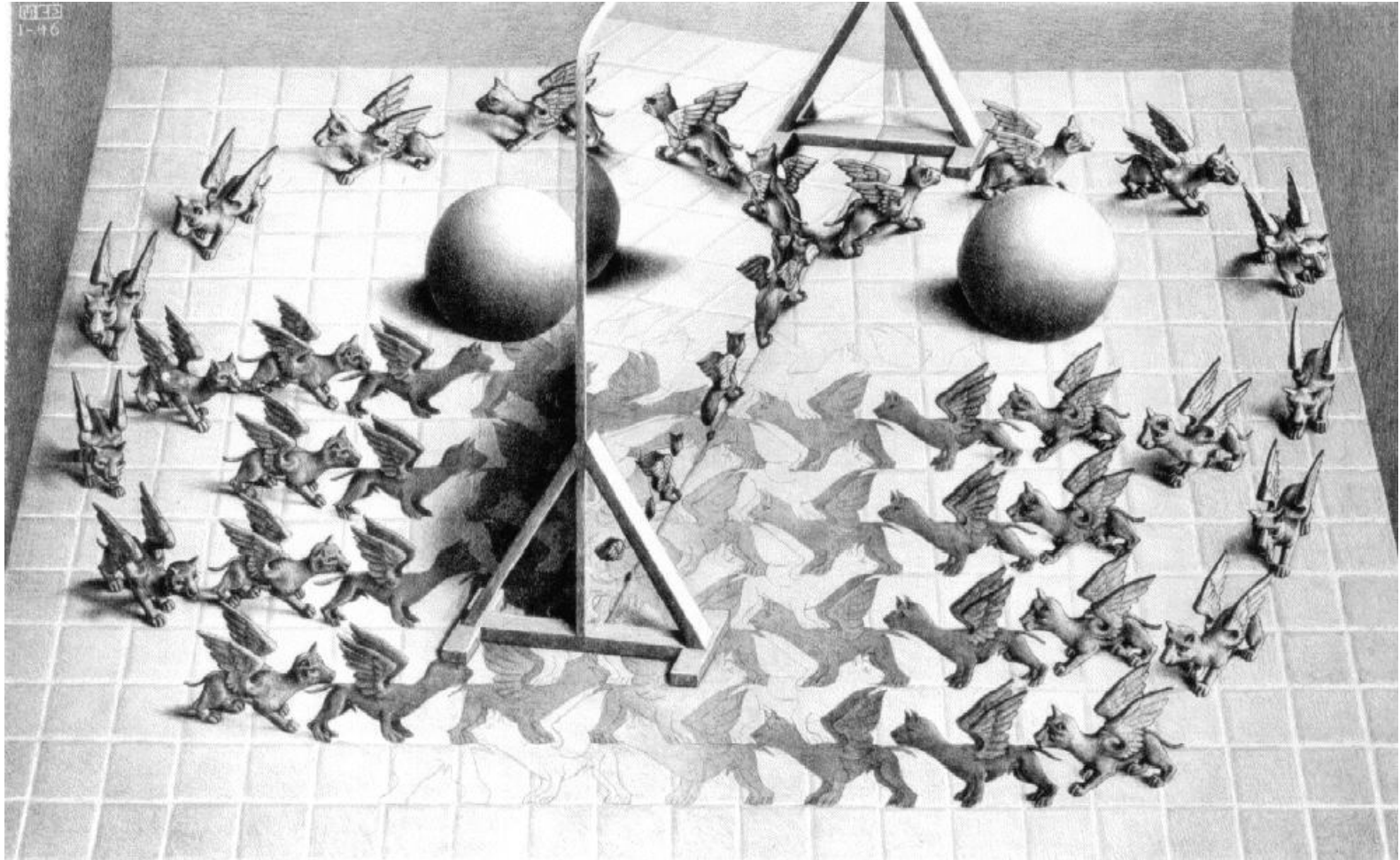


# 3D Geometrical Transformations

Foley & Van Dam, Chapter 5

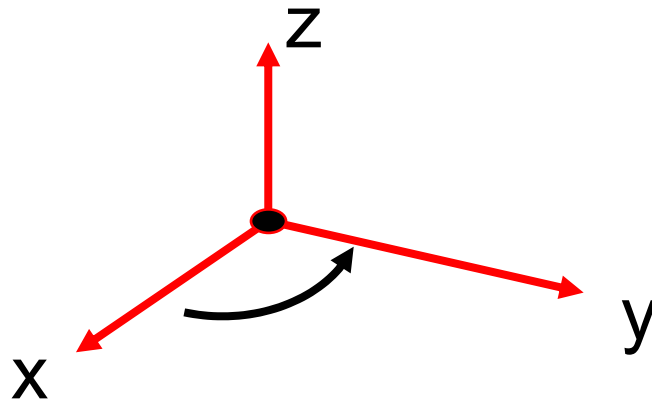


# 3D Geometrical Transformations

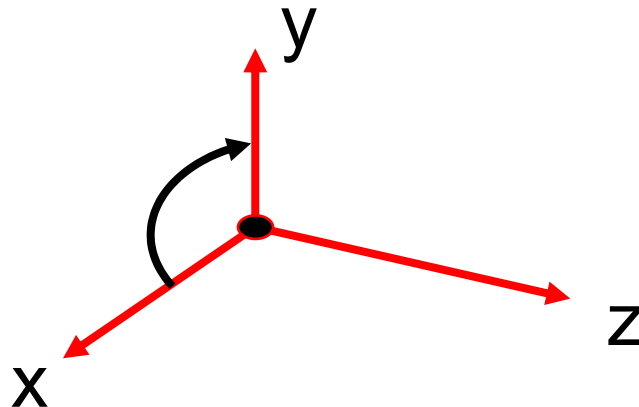
- 3D point representation
- Translation
- Scaling, reflection
- Shearing
- Rotations about x, y and z axis
- Composition of rotations
- Rotation about an arbitrary axis
- Transforming planes

# 3D Coordinate Systems

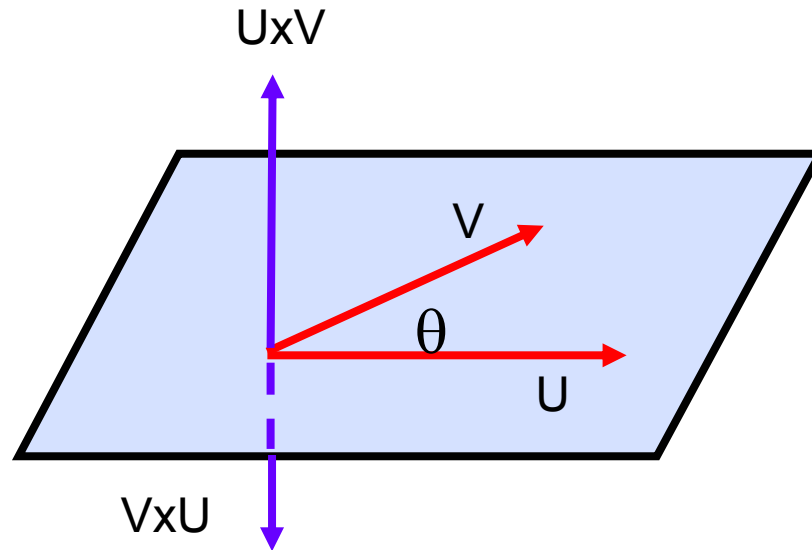
Right-handed coordinate system:



Left-handed coordinate system:



# Reminder: Cross Product



$$U \times V = \hat{n} |U| |V| \sin \theta$$

$$U \times V = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ u_x & u_y & u_z \\ v_x & v_y & v_z \end{vmatrix} = \begin{bmatrix} u_y v_z - u_z v_y \\ u_z v_x - u_x v_z \\ u_x v_y - u_y v_x \end{bmatrix}$$

# 3D Point Representation

- A 3D point  $P$  is represented in homogeneous coordinates by a 4-dimensional vector:

$$P = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

- As for 2D points:

$$p = \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} \equiv \begin{bmatrix} \alpha x \\ \alpha y \\ \alpha z \\ \alpha \end{bmatrix}$$

# 3D Geometrical Transformations

- In homogeneous coordinates, 3D affine transformations are represented by 4x4 matrices:

$$\begin{bmatrix} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- A point transformation is performed:

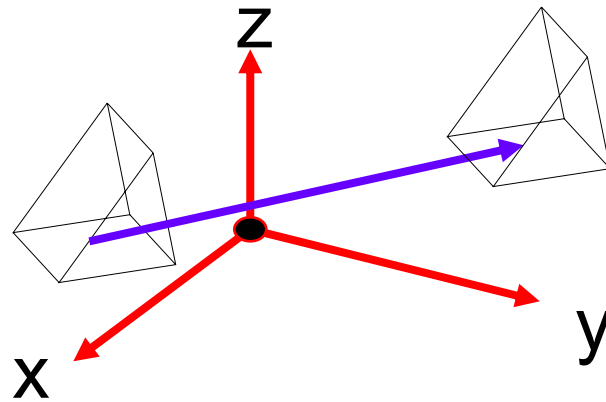
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c & t_x \\ d & e & f & t_y \\ g & h & i & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

# 3D Translation

P is translated to P' by:

$$\begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} x + t_x \\ y + t_y \\ z + t_z \\ 1 \end{bmatrix}$$

Or:  $T P = P'$

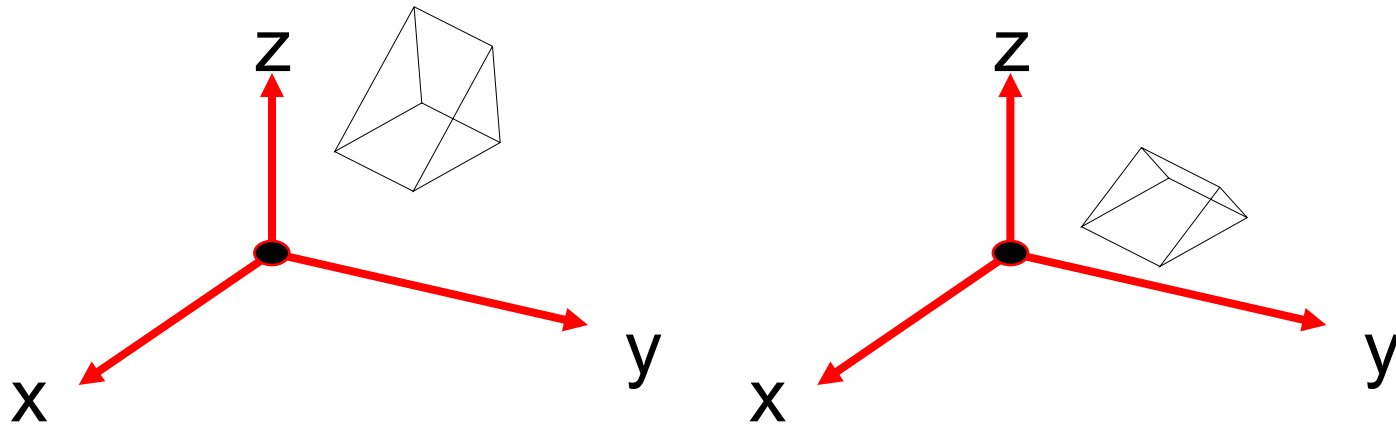


Inverse:  $T^{-1} P' = P$

# 3D Scaling

$$\begin{bmatrix} a & 0 & 0 & 0 \\ 0 & b & 0 & 0 \\ 0 & 0 & c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} ax \\ by \\ cz \\ 1 \end{bmatrix}$$

Or  $S P = P'$



$$S^{-1} P' = P$$



# 3D Reflection

- A reflection through the  $xy$  plane:

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ -z \\ 1 \end{bmatrix}$$

- Reflections through the  $xz$  and the  $yz$  planes are defined similarly

# 3D Shearing

- Shearing:

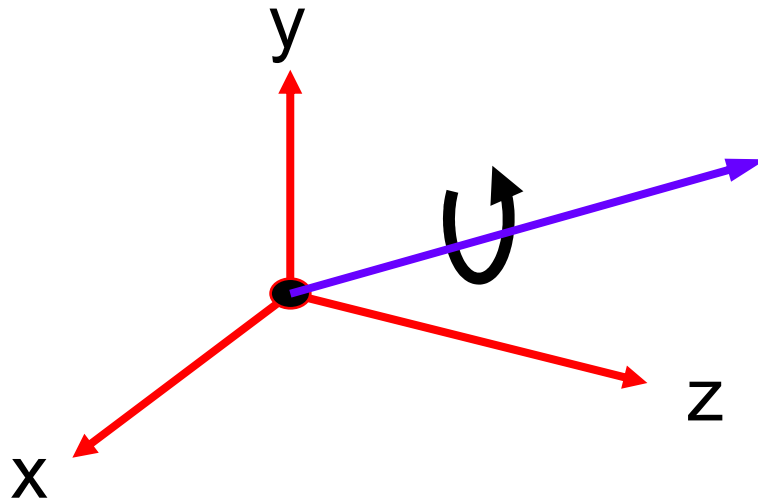
$$\begin{bmatrix} 1 & a & b & 0 \\ c & 1 & d & 0 \\ e & f & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x + ay + bz \\ cx + y + dz \\ ex + fy + z \\ 1 \end{bmatrix}$$

- Change in each coordinate is a linear combination of all three
- Transforms a cube into a general parallelepiped



# 3D Rotation

- To generate a rotation in 3D we have to specify:
  - axis of rotation (2 d.o.f.)
  - amount of rotation (1 d.o.f.)
- Note, the axis passes through the origin

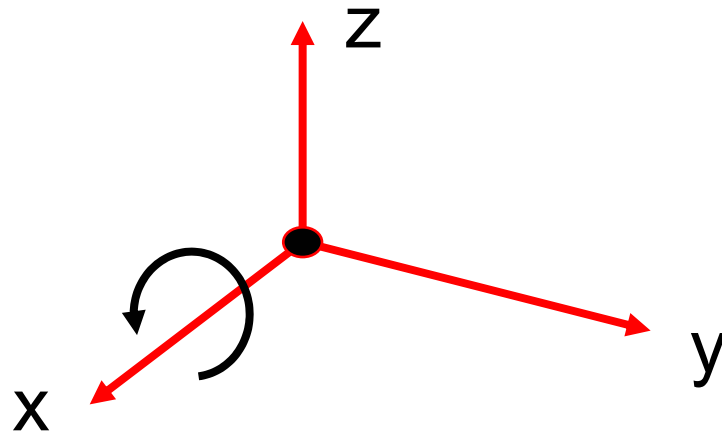


# 3D Rotation

- Counterclockwise rotation about x-axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$p' = R_x(\theta) p$$

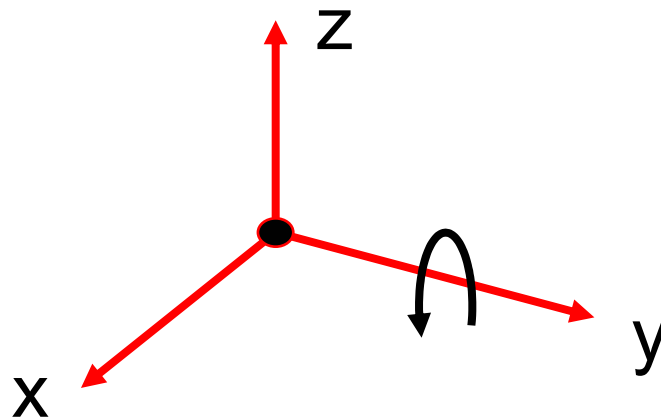


# 3D Rotation

- Counterclockwise rotation about y-axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 & -\sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ \sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$p' = R_y(\theta) p$$

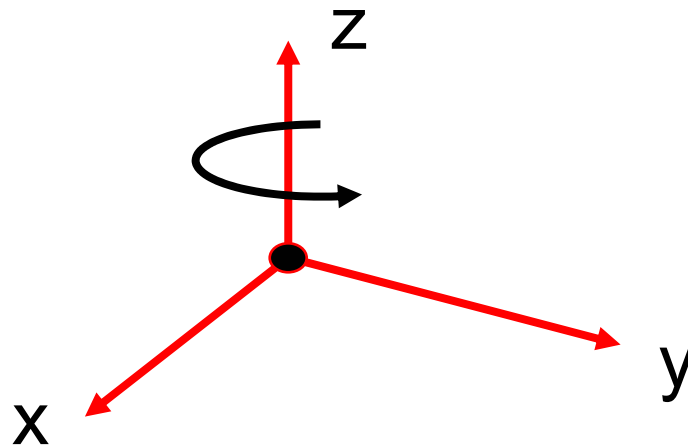


# 3D Rotation

- Counterclockwise rotation about z-axis

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

$$p' = R_z(\theta) p$$



# Composite Rotation

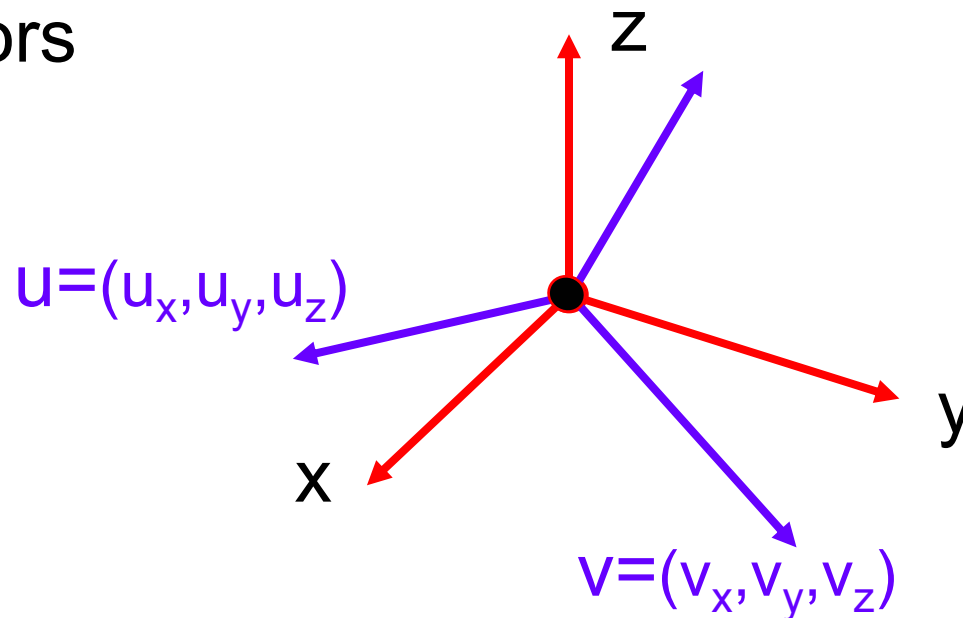
- $R_x$ ,  $R_y$ , and  $R_z$ , can perform *any* rotation about an axis passing through the origin

- Inverse rotation:

$$p = R^{-1}(\theta) p' = R(-\theta) p' = R^T(\theta)$$

# Change of Coordinates

- **Problem:** Given the  $XYZ$  orthonormal coordinate system, find a transformation  $M$ , that maps a representation in  $XYZ$  into a representation in the orthonormal system  $UVW$ , with the same origin
- The matrix  $M$  transforms the  $UVW$  vectors to the  $XYZ$  vectors





# Change of Coordinates

- **Solution:**  $M$  is rotation matrix whose rows are  $U, V,$  and  $W$ :

$$M = \begin{bmatrix} u_x & u_y & u_z & 0 \\ v_x & v_y & v_z & 0 \\ w_x & w_y & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

- **Note:** the inverse transformation is the transpose:

$$M^{-1} = M^T = \begin{bmatrix} u_x & v_x & w_x & 0 \\ u_y & v_y & w_y & 0 \\ u_z & v_z & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Change of Coordinates

- Let's check the transformation of  $U$  under  $M$ :

$$\begin{aligned} MU &= \begin{bmatrix} u_x & u_y & u_z & 0 \\ v_x & v_y & v_z & 0 \\ w_x & w_y & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} u_x \\ u_y \\ u_z \\ 1 \end{bmatrix} \\ &= \begin{bmatrix} u_x^2 + u_y^2 + u_z^2 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \end{bmatrix} = X \end{aligned}$$

- Similarly,  $V$  goes into  $Y$ , and  $W$  goes into  $Z$

# Change of Coordinates

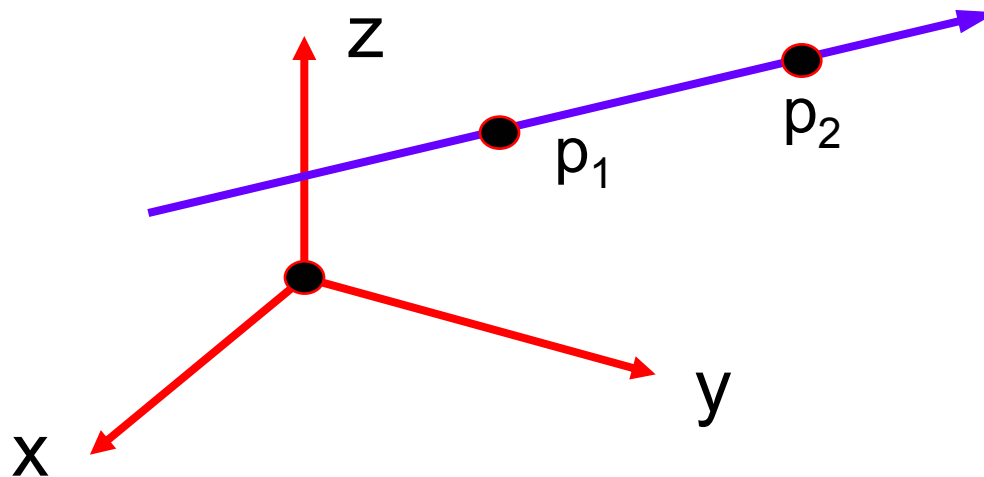
- Let's check the transformation of the X axis under  $M^{-1}$ :

$$M^{-1} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} u_x & v_x & w_x & 0 \\ u_y & v_y & w_y & 0 \\ u_z & v_z & w_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} u_x \\ u_y \\ u_z \\ 1 \end{bmatrix} = U$$

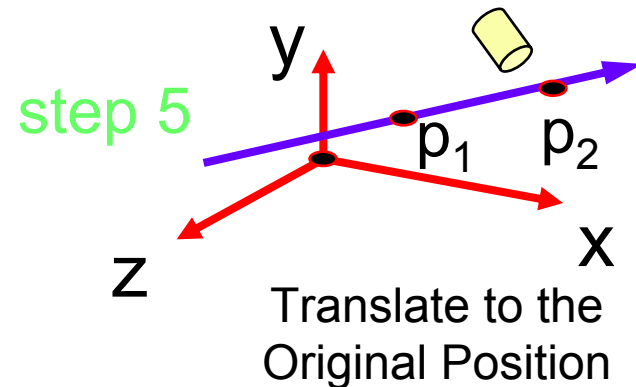
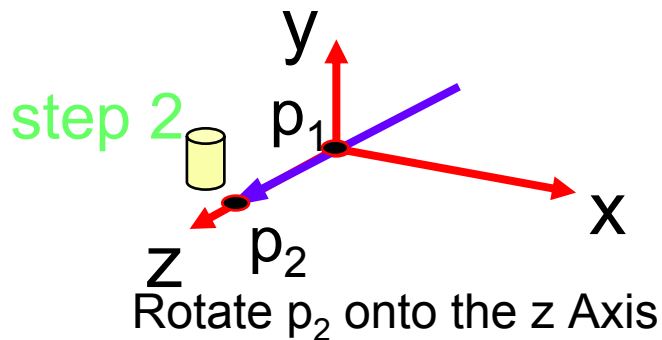
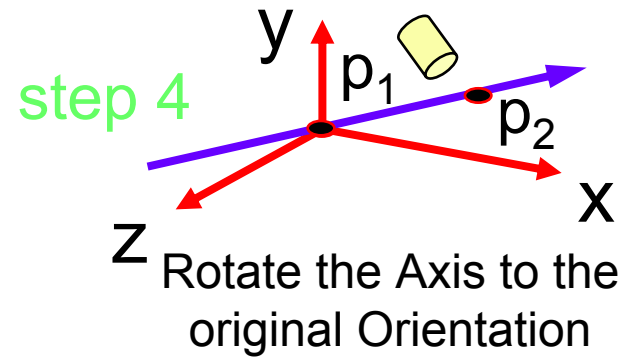
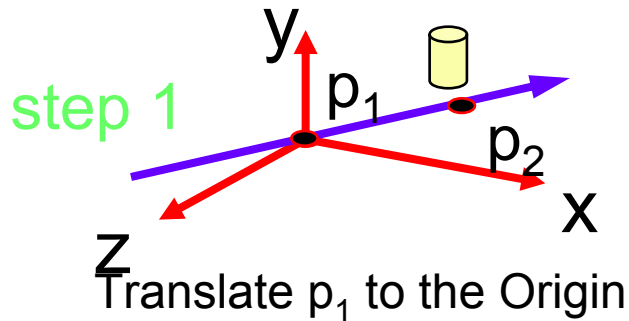
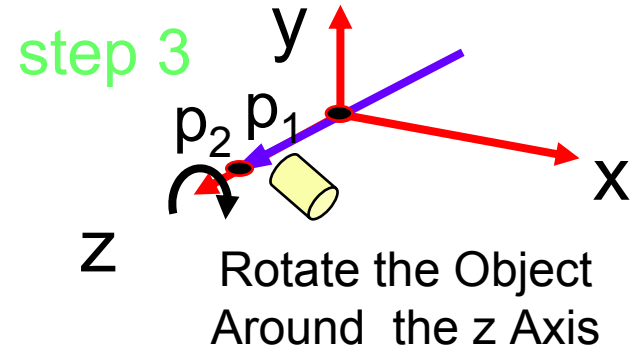
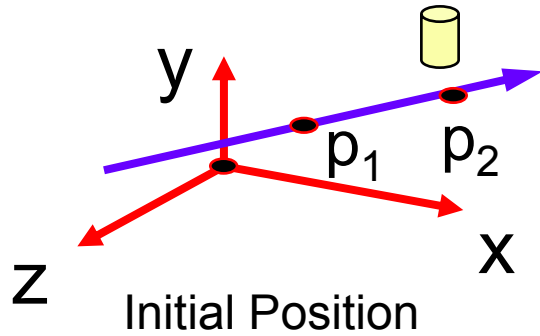
- Similarly, Y goes into V, and Z goes into W

# Rotation About an Arbitrary Axis

- Axis of rotation can be located at any point: 6 d.o.f. (we must specify 2 points  $p_1$  and  $p_2$ )
- **The idea:** make the axis coincident with one of the coordinate axes (z axis), rotate by  $\theta$ , and then transform back



# Rotation About an Arbitrary Axis



# Rotation About an Arbitrary Axis

- Step 1: 
$$T = \begin{pmatrix} 1 & 0 & 0 & -x_1 \\ 0 & 1 & 0 & -y_1 \\ 0 & 0 & 1 & -z_1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Step 2: 
$$M = \begin{pmatrix} u_x & u_y & u_z & 0 \\ v_x & v_y & v_z & 0 \\ w_x & w_y & w_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Step 3: 
$$R = \begin{pmatrix} \cos \theta & \sin \theta & 0 & 0 \\ -\sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Step 4: 
$$M^{-1} = \begin{pmatrix} u_x & v_x & w_x & 0 \\ u_y & v_y & w_y & 0 \\ u_z & v_z & w_z & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Step 5: 
$$T^{-1} = \begin{pmatrix} 1 & 0 & 0 & x_1 \\ 0 & 1 & 0 & y_1 \\ 0 & 0 & 1 & z_1 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

- Composition: 
$$P' = T^{-1} M^{-1} R M T P$$

# Rotation About Arbitrary Axis

- Constructing an orthonormal system along the rotation axis:

- A vector  $W$  parallel to the rotation axis:

$$s = p_2 - p_1; \quad w = \frac{s}{|s|}$$

- A vector  $V$  perpendicular to  $W$ :

$$a = w \times \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}; \quad v = \frac{a}{|a|}$$

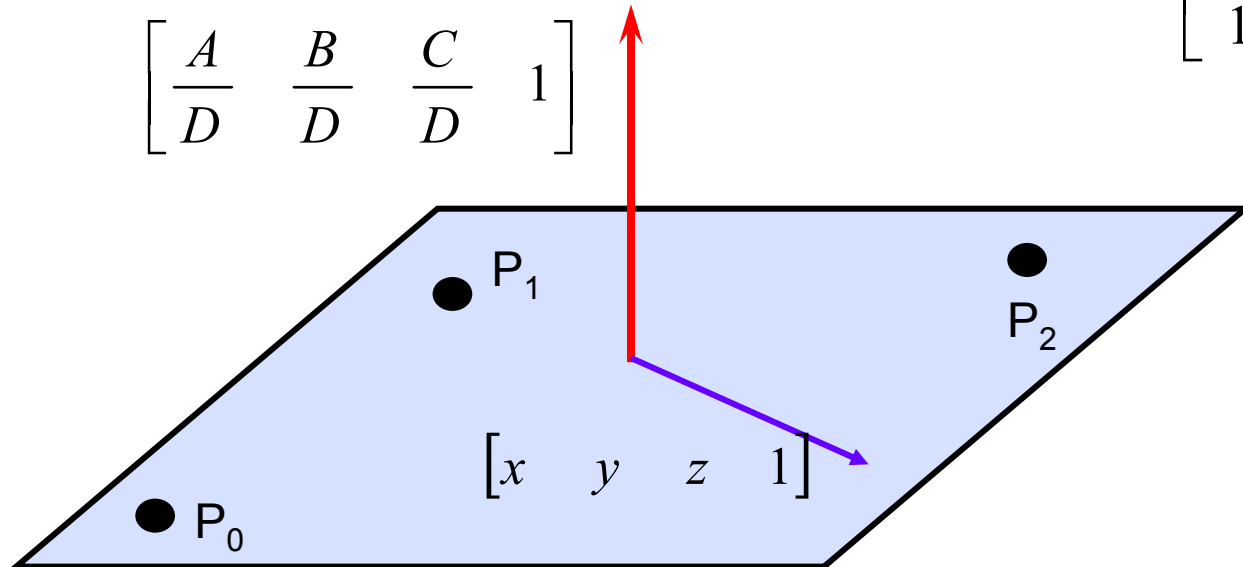
- A vector  $U$  forming a right-handed orthogonal system with  $W$  and  $V$ :

$$u = v \times w$$

# Transforming Planes

- Plane representation:
  - By three non-collinear points
  - By implicit equation:

$$A x + B y + C z + D = [A \quad B \quad C \quad D] \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = 0$$
$$\left[ \frac{A}{D} \quad \frac{B}{D} \quad \frac{C}{D} \quad 1 \right]$$





# Transforming Planes

- One way to transform a plane is by transforming any three non-collinear points on the plane

- Another way is to transform the plane equation:  
Given a transformation  $T$  such that

$$T [x, y, z, 1] = [x', y', z', 1]$$

find  $[A', B', C', D']$ , such that:

$$\begin{bmatrix} A' & B' & C' & D' \end{bmatrix} \begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = 0$$

# Transforming Planes

- Note that:

$$[A \quad B \quad C \quad D] T^{-1} T \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = 0$$

- Thus, the transformation that we should apply to the plane equation is:

$$[A' \quad B' \quad C' \quad D'] = [A \quad B \quad C \quad D] T^{-1}$$